

According to a 2006 study by the Indiana Prevention Resource Center, over 70% of Indiana's High School Seniors admit to having consumed alcoholic beverages. Although this trend has steadily declined since 1991, the survey results are alarming. The Indiana Alcohol and Tobacco Commission (ATC) and the State Excise Police (Excise) began developing new enforcement strategies to identify problem alcohol sales outlets, areas where youth could easily gain access to alcoholic beverages, and strategies that would be effective for retailers to become more responsive in assisting in reducing the sale of alcoholic beverages to minors. This brought the emergence of a process known as the Survey for Alcohol Compliance (SAC)

The Survey for Alcohol Compliance (SAC) is a six phase process. During Phase 1, the ATC Chairman drafted a letter that was sent to all permit holders identifying the process and informing them that the Excise Police would conduct training to assist them in identifying underage patrons, fraudulent documents, and how to sell responsibly. This training was, and continues to be offered free of charge and could be arranged by contacting one of the regional offices and requesting it. In addition, the ATC partnered with Indiana University- Purdue University Indianapolis (IUPUI), in generating a random list of alcoholic beverage permit holders across the state of Indiana. This initial list contained permit locations to be checked by the Excise Police, utilizing the SAC protocol during Phase 2 of the process. The results of these checks provided statistical data related to the serving of alcoholic beverages to minors.

In January of 2007 the Excise Police initiated Phase 2, the process of conducting a Survey for Alcohol Compliance (SAC). During this phase, the only locations surveyed were those indicated on the list provided by IUPUI, and the establishments where it is lawful for the youth to patronize. By the close of 2007, the Excise Police had conducted 1803 SAC inspections in the 92 counties. From that number, 1220 of the establishments passed the inspections and 603 failed the inspection. Thus, the rate of non-compliance for this phase is 32%. These checks included grocery stores, convenience stores, big box stores and restaurants. Liquor stores and bars are not included in this non-compliance rate, but will be implemented during Phase 3 of the project. Therefore, the statewide non-compliance rate could change.

In January of 2008, Phase 3 of the process was initiated. During this phase, the ATC has presented the initial findings to the state legislature for review and development of legislation. Additionally, this information is being provided to the Indiana Criminal Justice Institute for additional research and support for enforcement of underage drinking laws. Also during this phase, compliance checks will be initiated in liquor stores and other locations that minors are not permitted to patronize. During Phase 3, the results from the compliance checks will be used to provide statistical data related to the service of alcohol to minors in restricted locations.

Later this year, the Excise Police will begin Phases 4, 5 and 6 of the SAC process. During Phase 4, each of the six regional Excise districts will begin conducting 150- to 200 compliance checks per month. Also, officers will begin issuing violations for non-compliance with the law. During Phase 5, Excise officers will utilize minors to initiate "Shoulder Tap" enforcement, focusing on those willing to purchase alcoholic beverages for minors. Consistent with the other phases of the SAC process, during Phase 5 the initial response to a failure is to provide a warning and utilize the data for statistical purposes. However, Phase 6 is the process in which all violations could result in administrative and/or criminal charges.

The information attached with this communication to you will outline the process for SAC, as

well as provide the findings of the data that has been collected thus far. The graphs indicate the top five and the lowest five counties surveyed and the rate of non-compliance for each county. Also, included is a county-by-county tabulation of compliance checks. As you can see, the non-compliance rate has quite a range, but the state wide average for non-compliance is 33%.

The SAC initiative utilizes many of the same strategies that the Excise Police have developed for use in tobacco enforcement in the past. Those efforts have resulted in reducing the non-compliance rate for tobacco sales to minors from over 40%, down to 11%.

County	Permit Type	Pass	Fail	Total Checks	Non-Compliance Rate for type	Non-Compliance Rate for county
1	Restaurant	1	8	9	89%	62%
1	Grocery/Pharmacy	4		4	0%	
	Total	5	8	13		
2	Restaurant	56	79	135	59%	51%
2	Grocery/Pharmacy	38	19	57	33%	
	Total	94	98	192		
3	Restaurant	0	0	0	#DIV/0!	11%
3	Grocery/Pharmacy	17	2	19	11%	
	Total	17	2	19		
5	Restaurant	1	2	3	67%	80%
5	Grocery/Pharmacy	0	2	2	100%	
	Total	1	4	5		
7	Restaurant	2	1	3	33%	20%
7	Grocery/Pharmacy	2	0	2	0%	
	Total	4	1	5		
10	Restaurant	18	2	20	10%	10%
10	Grocery/Pharmacy	19	2	21	10%	
	Total	37	4	41		
14	Restaurant	4	4	8	50%	42%
14	Grocery/Pharmacy	3	1	4	25%	
	Total	7	5	12		
15	Restaurant	0	0	0	#DIV/0!	35%
15	Grocery/Pharmacy	13	7	20	35%	
	Total	13	7	20		
16	Restaurant	5	2	7	29%	13%
16	Grocery/Pharmacy	9	0	9	0%	
	Total	14	2	16		
17	Restaurant	13	2	15	13%	13%
17	Grocery/Pharmacy	8	1	9	11%	
	Total	21	3	24		
18	Restaurant	9	6	15	40%	32%

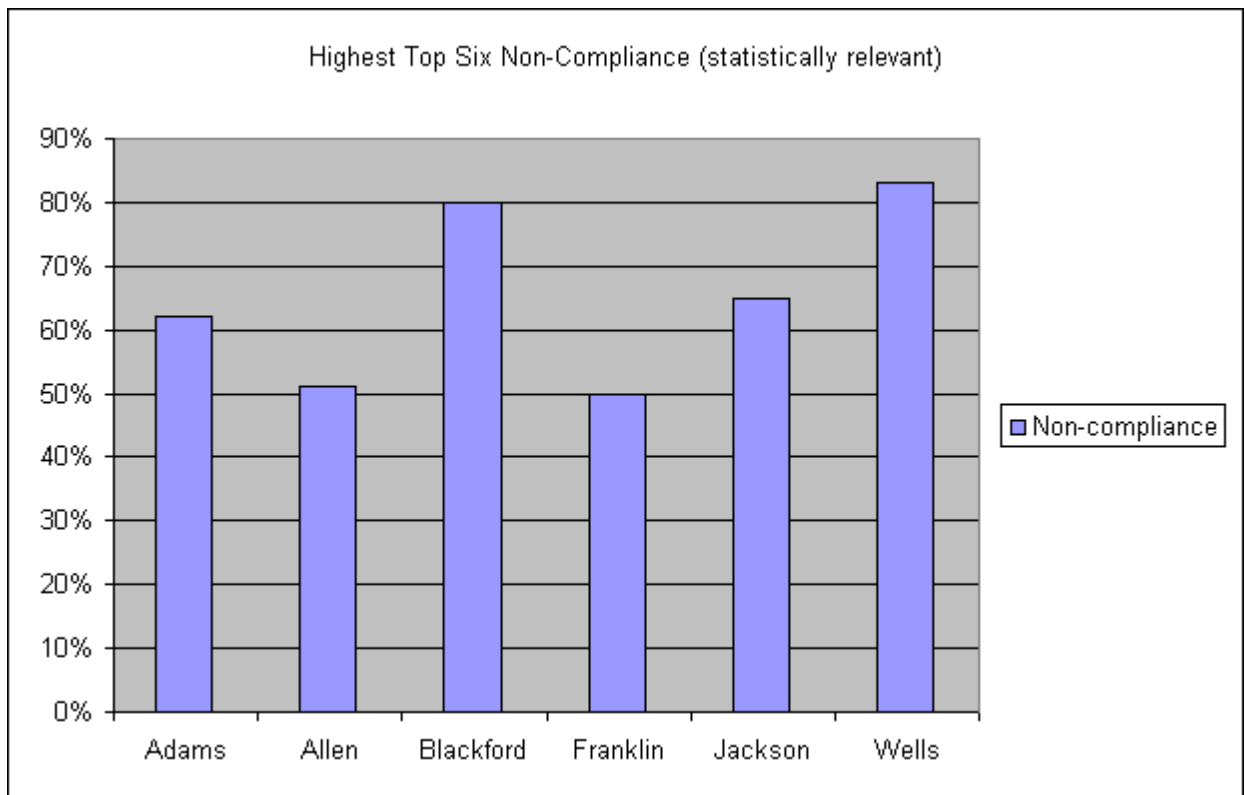
18	Grocery/Pharmacy	12	4	16	25%	
	Total	21	10	31		
21	Restaurant	1	2	3	67%	29%
21	Grocery/Pharmacy	4	0	4	0%	
	Total	5	2	7		
22	Restaurant	17	1	18	6%	6%
22	Grocery/Pharmacy	12	1	13	8%	
	Total	29	2	31		
24	Restaurant	4	5	9	56%	50%
24	Grocery/Pharmacy	4	3	7	43%	
	Total	8	8	16		
26	Restaurant	8	8	16	50%	39%
26	Grocery/Pharmacy	6	1	7	14%	
	Total	14	9	23		
27	Restaurant	6	8	14	57%	43%
27	Grocery/Pharmacy	11	5	16	31%	
	Total	17	13	30		
28	Restaurant	1	0	1	0%	0%
28	Grocery/Pharmacy	0	0	0	#DIV/0!	
	Total	1	0	1		
30	Restaurant	4	1	5	20%	25%
30	Grocery/Pharmacy	2	1	3	33%	
	Total	6	2	8		
31	Restaurant	0	0	0	#DIV/0!	43%
31	Grocery/Pharmacy	4	3	7	43%	
	Total	4	3	7		
32	Restaurant	0	0	0	#DIV/0!	7%
32	Grocery/Pharmacy	13	1	14	7%	
	Total	13	1	14		
33	Restaurant	2	3	5	60%	27%
33	Grocery/Pharmacy	6	0	6	0%	
	Total	8	3	11		
35	Restaurant	10	7	17	41%	35%
35	Grocery/Pharmacy	3	0	3	0%	
	Total	13	7	20		
36	Restaurant	1	6	7	86%	65%
36	Grocery/Pharmacy	5	5	10	50%	
	Total	6	11	17		
38	Restaurant	1	4	5	80%	50%
38	Grocery/Pharmacy	4	1	5	20%	
	Total	5	5	10		
39	Restaurant	1	1	2	50%	31%
39	Grocery/Pharmacy	8	3	11	27%	

	Total	9	4	13		
41	Restaurant	3	0	3	0%	10%
41	Grocery/Pharmacy	23	3	26	12%	
	Total	26	3	29		
42	Restaurant	8	1	9	11%	5%
42	Grocery/Pharmacy	12	0	12	0%	
	Total	20	1	21		
43	Restaurant	11	12	23	52%	43%
43	Grocery/Pharmacy	10	4	14	29%	
	Total	21	16	37		
44	Restaurant	4	6	10	60%	46%
44	Grocery/Pharmacy	3	0	3	0%	
	Total	7	6	13		
46	Restaurant	28	17	45	38%	25%
46	Grocery/Pharmacy	25	1	26	4%	
	Total	53	18	71		
47	Restaurant	3	2	5	40%	46%
47	Grocery/Pharmacy	4	4	8	50%	
	Total	7	6	13		
48	Restaurant	0	1	1	100%	44%
48	Grocery/Pharmacy	5	3	8	38%	
	Total	5	4	9		
49	Restaurant	55	60	115	52%	37%
49	Grocery/Pharmacy	130	48	178	27%	
	Total	185	108	293		
53	Restaurant	51	25	76	33%	27%
53	Grocery/Pharmacy	35	7	42	17%	
	Total	86	32	118		
55	Restaurant	2	5	7	71%	35%
55	Grocery/Pharmacy	11	2	13	15%	
	Total	13	7	20		
57	Restaurant	4	9	13	69%	38%
57	Grocery/Pharmacy	11	0	11	0%	
	Total	15	9	24		
59	Restaurant	0	1	1	100%	25%
59	Grocery/Pharmacy	3	0	3	0%	
	Total	3	1	4		
60	Restaurant	2	2	4	50%	25%
60	Grocery/Pharmacy	4	0	4	0%	
	Total	6	2	8		
63	Restaurant	0	1	1	100%	25%
63	Grocery/Pharmacy	3	0	3	0%	
	Total	3	1	4		

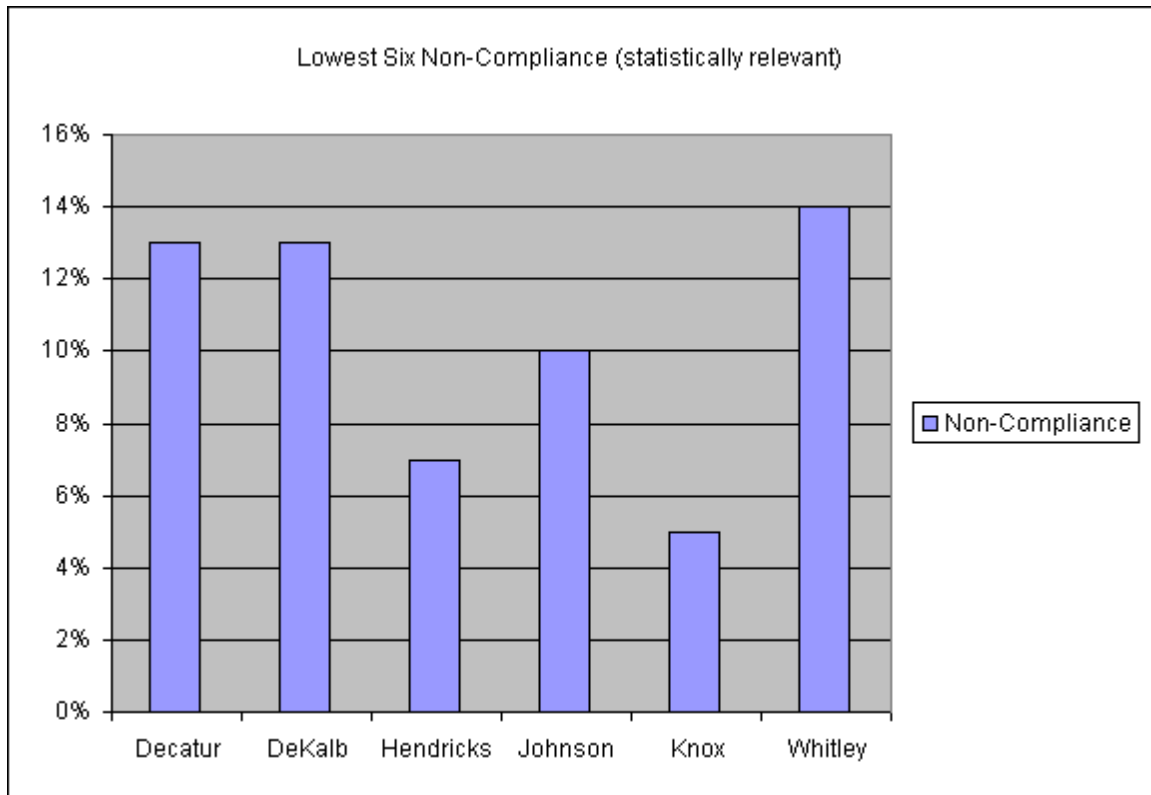
64	Restaurant	55	13	68	19%	23%
64	Grocery/Pharmacy	22	10	32	31%	
	Total	77	23	100		
65	Restaurant	5	6	11	55%	44%
65	Grocery/Pharmacy	5	2	7	29%	
	Total	10	8	18		
68	Restaurant	3	4	7	57%	33%
68	Grocery/Pharmacy	5	0	5	0%	
	Total	8	4	12		
69	Restaurant	14	3	17	18%	21%
69	Grocery/Pharmacy	8	3	11	27%	
	Total	22	6	28		
71	Restaurant	15	13	28	46%	33%
71	Grocery/Pharmacy	33	11	44	25%	
	Total	48	24	72		
72	Restaurant	0	1	1	100%	100%
72	Grocery/Pharmacy	0	0	0	#DIV/0!	
	Total	0	1	1		
76	Restaurant	4	5	9	56%	50%
76	Grocery/Pharmacy	2	1	3	33%	
	Total	6	6	12		
77	Restaurant	1	4	5	80%	67%
77	Grocery/Pharmacy	2	2	4	50%	
	Total	3	6	9		
79	Restaurant	15	7	22	32%	25%
79	Grocery/Pharmacy	30	8	38	21%	
	Total	45	15	60		
82	Restaurant	29	12	41	29%	20%
82	Grocery/Pharmacy	35	4	39	10%	
	Total	64	16	80		
84	Restaurant	37	18	55	33%	28%
84	Grocery/Pharmacy	28	7	35	20%	
	Total	65	25	90		
85	Restaurant	5	3	8	38%	17%
85	Grocery/Pharmacy	10	0	10	0%	
	Total	15	3	18		
88	Restaurant	0	3	3	100%	78%
88	Grocery/Pharmacy	2	4	6	67%	
	Total	2	7	9		
89	Restaurant	0	1	1	100%	19%
89	Grocery/Pharmacy	13	2	15	13%	
	Total	13	3	16		

90	Restaurant	0	4	4	100%	83%
90	Grocery/Pharmacy	1	1	2	50%	
	Total	1	5	6		
92	Restaurant	11	3	14	21%	14%
92	Grocery/Pharmacy	7	0	7	0%	
	Total	18	3	21		
			Total Checks	1802		
			Total Non-C	583		
		Statewide Non-Compliance		32%		

County	Non-compliance
Adams	62%
Allen	51%
Blackford	80%
Franklin	50%
Jackson	65%
Wells	83%



County	Non-Compliance
Decatur	13%
DeKalb	13%
Hendricks	7%
Johnson	10%
Knox	5%
Whitley	14%



County	Non-Comp Rest.	Non-Comp. Dealer
Adams	89%	0%
Allen	59%	33%
Blackford	67%	100%
Franklin	56%	43%
Jackson	41%	0%
Wells	100%	50%

